

11/02/01
J1025 U.S. PTO

12-5-01

BOX/500

Docket No. 63293/BJA/JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

November 2, 2001

JCE03 U.S. PTO
10/002864
11/02/01

S I R:

Transmitted herewith for filing are the specification and claims of the patent application of:

Cy A. Stein, Luba Benimetskaya and Nancy Guzzo - Pernell for
Inventor(s)
PEPTIDES THAT DELIVER ANTISENSE OLIGONUCLEOTIDES WHICH DOWNREGULATE PROTEIN
EXPRESSION IN CELLS
Title of Invention

Also enclosed are:

- ☒ 9 sheet(s) of ☒ informal ☐ formal drawings.
- ☒ Oath or declaration of Applicant(s). (Unsigned)
- ☒ A power of attorney (Unsigned)
- ☐ An assignment of the invention to _____
- ☐ A Preliminary Amendment
- ☒ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	48-20	=	28	X	\$ 9	\$ 18	= \$ 252	\$
Independent Claims	2-3	=	0	X	\$40	\$ 80	= \$ 0	\$
Multiple Dependent Claims Presented: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					\$135	\$270	= \$135	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 355	\$ 710
					TOTAL FEE		\$ 742	\$

Letter of Transmittal
Page 2

- ☒ A check in the amount of \$ 742.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125;
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. _____ filed in _____ on _____ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☐ Other (identify) Extra set of loose figures (9 Sheets)
CRF Sequence Listing, Statement in Accordance with
1.821(f), Paper Sequence Listing, Express Mail
Certificate of Mailing Labeled EL628787819US

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Cy A. Stein et al.,
U.S. Serial No. : Not Yet Known
Filed : Herewith
For : PEPTIDES THAT DELIVER ANTISENSE
OLIGONUCLEOTIDES WHICH DOWNREGULATE PROTEIN
EXPRESSION IN CELLS

1185 Avenue of the Americas
New York, New York 10036
November 2, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Box Provisional Patent Application

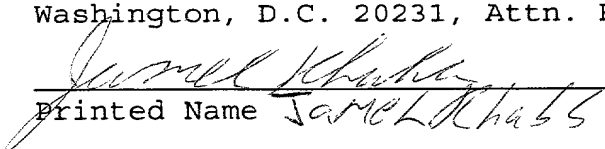
Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION**


"Express Mail" Mailing Label Number: EL628787819US

Date of deposit: November 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Attn. Box Provisional Patent Application.


Printed Name Samuel Khachaturian

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